



RCE  
JPW

**REQUEST FOR CONTINUED EXAMINATION (RCE)  
TRANSMITTAL**

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Vanessa S. Jackson

Application Number : 09/980,606 Confirmation No. 8168  
Filing Date : November 15, 2001  
Inventor(s) : Manabu Yamada, et al.  
Title : OPTICAL WAVEGUIDE MODULATOR EQUIPPED WITH AN  
OUTPUT LIGHT MONITOR  
Group Art Unit : 2883  
Examiner Name : Dinh D. Chiem  
Docket No. : 45923/A400 Date: June 15, 2006

**MAIL TO: Mail Stop RCE**

This is a Request for Continued Examination (RCE) under 37 CFR § 1.114 of the above-identified application.

This application is **not** an application of the kind specified in 37 CFR § 1.114(e).

**1. THE STATUS OF THE APPLICATION IS AS FOLLOWS:**

a. ☒ Pending (no review proceedings active)

(1) ☒ An Action was mailed by the Office on December 15, 2005, as to which no appeal under 37 CFR § 1.191 has been filed and

\_\_\_\_\_ a response under 37 CFR § 1.116 was mailed on

\_\_\_\_\_ via Express Mail

\_\_\_\_\_ with certificate of mailing under 37 CFR § 1.8

☒ that Action was a Final Rejection, the finality of which is to be withdrawn by this Request

\_\_\_\_\_ an appeal or civil action under 35 U.S.C. 141,145 or 146 has been terminated

(2) \_\_\_\_\_ Allowed: the Notice of Allowance was mailed by the Office on

\_\_\_\_\_ the Issue Fee has not been paid

\_\_\_\_\_ the Issue Fee has been paid **and** a petition under 37 CFR § 1.313 was granted on

b. \_\_\_\_\_ Pending (with review proceeding active)

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**Application No. 09/980,606**

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An appeal under 37 CFR § 1.191 has been filed. **Applicant(s) hereby withdraw that appeal and request reopening of the prosecution of the application.**

**2. SUBMISSION(S) REQUIRED (check at least one)**

**a. Previously submitted**

- ☐ Consider the amendments/reply under 37 CFR § 1.116 previously filed on  
☐ Consider the arguments in the Appeal or Reply Brief previously filed on  
☐ Other:

**b. Enclosed**

- ☒ Amendment/Reply  
☐ Affidavit(s)/Declaration(s)  
☒ Information Disclosure Statement  
☐ Documents under 37 CFR § 1.48  
☒ Petition for Extension of Time  
☐ Other:

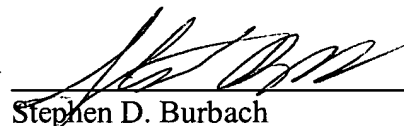
The Examiner is requested to telephone the undersigned promptly following receipt and initial review of the application in light of the Submissions(s) for the conduct of, or the scheduling of, a telephone interview in the application.

Please direct all correspondence to **CUSTOMER NUMBER 23363**. Direct telephone calls to 626/795-9900, **CHRISTIE, PARKER & HALE, LLP, P.O. Box 7068, Pasadena, CA 91109-7068**.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Stephen D. Burbach  
Reg. No. 40,285  
626/795-9900

SDB/vsj

**REQUEST FOR CONTINUED EXAMINATION (RCE)  
FEE CALCULATION SHEET**

**Application No. 09/980,606**

<b>PART I — BASIC FEE</b>			
BASIC FEE	Small Entity \$395.00	Large Entity \$790.00	\$790.00

<b>PART II — ADDITIONAL CLAIMS (compared to application before RCE)</b>						
	Claims Remaining After Amendment	Highest Number Previously Paid For	Number Extra Claims	Small Entity Rate	Large Entity Rate	FEE
Total Claims	39	*44	0	0 x \$25.00	0 x \$50.00	0
Independent Claims	1	**3	0	0 x \$100.00	0 x \$200.00	0
First Presentation of Multiple Dependent Claim				\$180.00	\$360.00	0
TOTAL CLAIMS FEE						\$0
List Independent Claims: 1						
* IF THE "HIGHEST NUMBER OF TOTAL CLAIMS PREVIOUSLY PAID FOR" IS LESS THAN 20, WRITE "20" IN THIS SPACE. ** IF THE HIGHEST NUMBER PREVIOUSLY PAID OR IS 3 OR LESS, WRITE "3" IN THIS SPACE.						

1. FEES *(The RCE fee under 37 CFR § 1.17(e) is required by 37 CFR § 1.114 when the RCE is filed.)*
- Amount (total from Fee Calculation Sheet)  
A check for \$790.00 is enclosed.
  - X   The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required for this transaction to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy hereof is enclosed.**

VSJ PAS686949.1-\*06/15/06 4:01 PM